



Institute of  
Science and  
Technology  
Austria



# REGON

## CZEXPATS in Science

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# About me

Centre for European Integration at Czech Chamber of Commerce

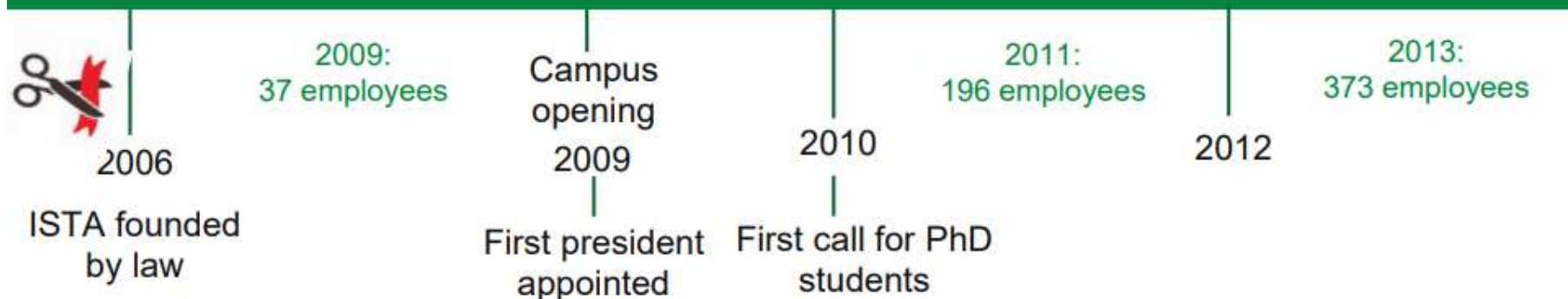
Czech Liaison Office for Research and Development (CZELO)

Czech Permanent Representation to the EU, Brussels

EU Research Project Manager at VŠCHT Praha

Grant Office at Institute of Science and Technology Austria

## Building a New Institution





## Building a New Institution



 75 professors  
1008 employees

2015

First PhD degree  
awarded

2017:  
617 employees

2019:  
777 employees

2022 (Sept)

## The Eight Principles behind ISTA

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**BASIC RESEARCH**  
Curiosity-Driven

**INDEPENDENT**  
Board of Scientists

**INTERNATIONAL**  
English Language

**INTERDISCIPLINARY**  
No Boundaries

**PHD GRANTING**  
Graduate School

**SUPPORTING CAREERS**  
Tenure Track

**DIVERSE FUNDING**  
Public and Private

**EXPLOITING RESULTS**  
Intellectual Property

(authors: Haim Harari, Olaf Kuebler, Hubert Markl)

# Incentives

## In applying for grants

Only 50% of the ISTA budget is guaranteed by the Ministry:

25% is dependent on ISTA winning the other 25% in third-party funding

- i.e. the Ministry matches every €1M in grants/donations with another €1M  
→ Incentive for the institute

ISTA passes on this matching to researchers

- i.e. ISTA match every €1M in awarded grants with another (up to) €300k  
→ Incentive for the researchers

All grant applications need to be approved by the Grant Office/Pre-Award.

All awarded grants are administered by the Grant Office/Post-Award.

- Centralized structure of GO
  - + suitable for smaller institutions
  - + less coordination = lower risk of mistakes
  - centralized office looks larger compared to other institutions
  - some future (coordination) tasks might be better decentralized?
- High service orientation in pre- and post-award
  - + high service level increases application (& success?) rate and ensures compliance with financial (and other grant) rules
  - + individual approach greatly appreciated by scientists
  - high service level allows only limited 'economies of scale'
  - increased need for coordination with other administration units

- Beyond pure GO tasks
  - + tasks connected to grants: various internal and external reports (ISTA management/Ministry), timesheet process, internal program coordination (EU Cofunds, and other programs), best-practice/PR
  - + know-how in one place ensures coherence and synergies
  - need for resources; expertise from different fields
  - tendency to assign more and more tasks
- Split per research group in post-award
  - + great benefit for researchers (one-stop shop)
  - + enables replaceability in the team
  - challenging to cope with broad range of funding schemes
  - experienced, skilled and flexible post-award experts are crucial



## Pre-award



Niall  
(TL pre-award)



Anna



Susana



Samuele



Paul (on leave)



Marie (Head of GO)



Emmanouil



Csilla



Lenka



Stephanie



Carla



Eszti



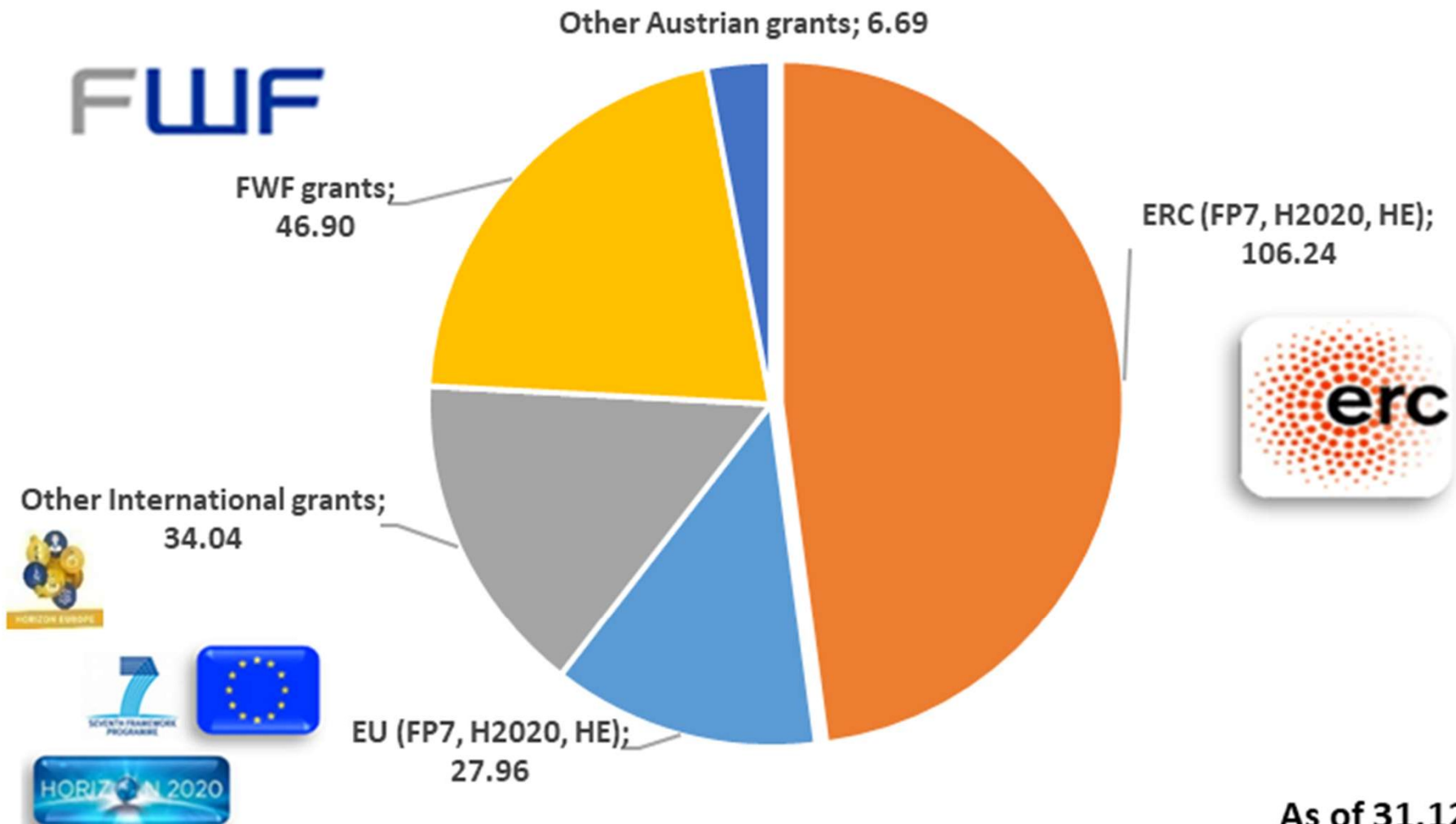
Benoit



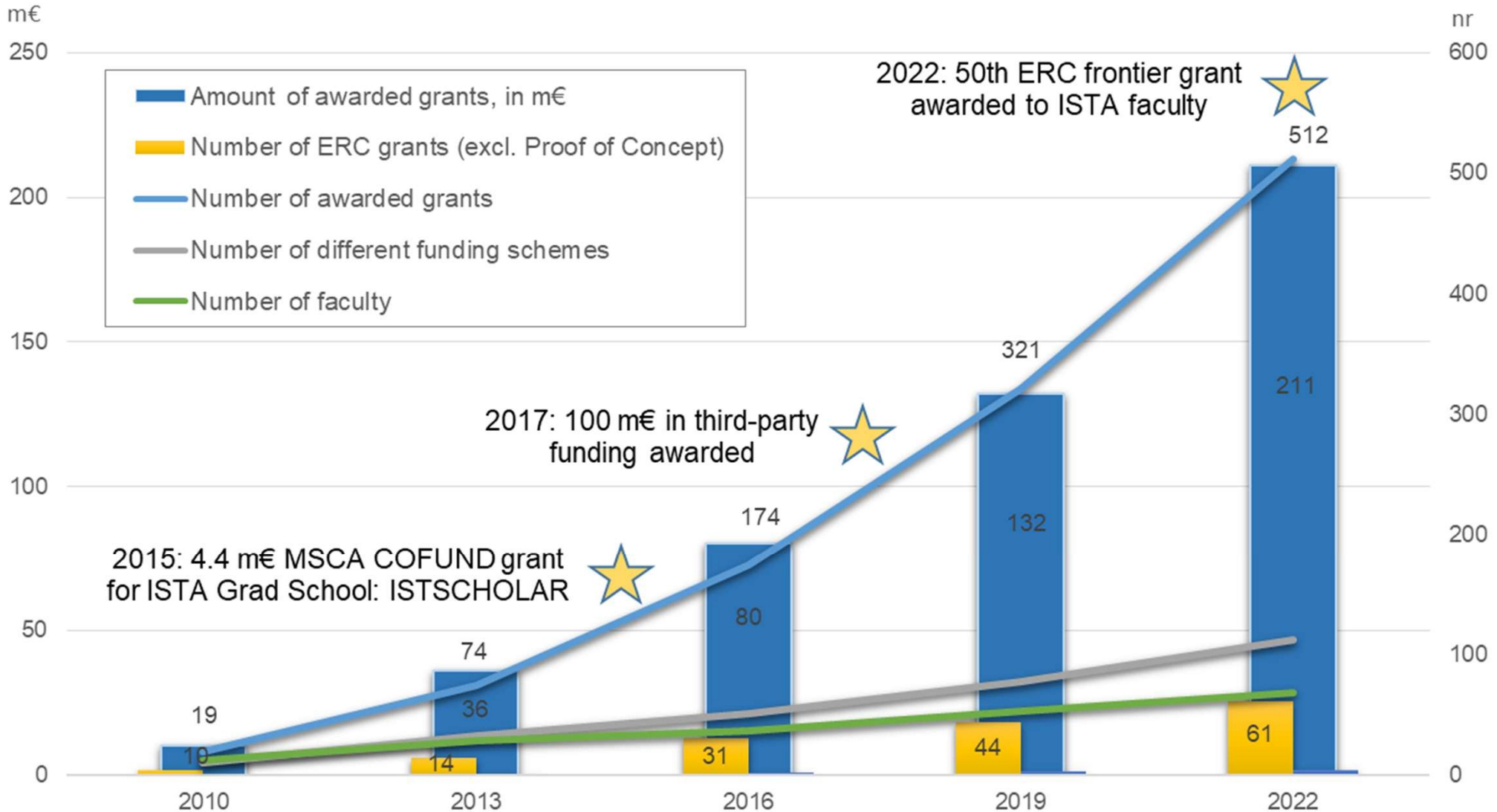
Eszter (on leave)

# Grants@ISTA in numbers

Third party funding per funding agency: 222.28 MEUR (contract amount)



# Grants@ISTA in numbers



# ERC/MSCA

~ 190 running grants in total; 24 running ERC grants

Total # ERC Grants (StG, CoG, AdG, SyG) awarded to professors under contract and hosted at ISTA: 64 (49 professors)

78% professors on campus have/had an ERC grant.  
14 professors awarded 2 ERC grants. 1 professor awarded 3 ERC grants.

Total # ERC Grants (StG, CoG, AdG) transferred to ISTA: 15

ERC Grants success rate (total awarded / total applications): 48.1 %

In total 4 MSCA Co-fund grants (1 PhD and 3 PostDoc)

In total 23 MSCA Fellowships (IF/PF)

# Pre-Award Tasks

## Application management

### **Pre-Award responsible for**

- Eligibility: adherence to funder and internal rules: ethics, IPR, rules for employees
- Proposal feedback: structure, fit to program goals, completeness, internal templates
- Coordination: internal cost quotations and approvals/signatures, collaborating researchers/institutes, funding agency inquiries
- Submission: management of institutional profiles and registrations

Support available from initial idea to final submission → GO  
monitor timelines and remind of the requirements at each step



# Pre-Award Tasks

## Communication

- **Targeted funding alerts:** incoming researchers; researchers reaching end of eligibility
- **Faculty candidates/new hires:** individualized information packages and consultations
- **Training:** ‘Proposal Writing’ (Graduate School); webinars and scheme specific workshops; info packages: ‘templates & tips’
- **Reporting:** grant statistics – C&E/Exec Committee/Management
- **Funding diversification:** EU & national information events; scheme<>faculty matching
- **\*Planned\* Annual application reports:** summary for faculty of group’s recent application history + upcoming funding opportunities for group members

# Post-Award Tasks

## Project management

### **Post-Award responsible for:**

- Project start (SAP set up, contract negotiations)
- Project life-time (allocations of personnel, project timesheets, project controlling, financial reportings – personal costs, audits)
- Project end (rebookings, SAP project close)
- Project extensions and project transfers

Constant and immediate support to scientists

# Post-Award Tasks

Reports, internal processes

## Reports to IST and Ministry

- Management reports
- Quarterly reports to professors – financial overview of grants
- Matching report, Other 3<sup>rd</sup> party fund reports to the Ministry

## Internal process management

- Internal process guidelines, maintenance of databases
- Funding agency specific guidelines
- Managing timesheet process
- Organizing internal workshops for scientists/administration
- Following development of funding policy/rules
- Participating in trainings/webinars, networking

# Post-Award Tasks

## Interface with other departments

- **Finance:** project accruals, rebookings, cash-in forecast, GO part of year-end close, internal charges, procurement
- **HR:** time recording system, check employment contracts for audits, split of salary paid from grant, contract particularities
- **Assistants to professors:** close cooperation regarding signatures, bookings, travels
- **Graduate School Office and Post-Doctoral Office:** informed about new PDs and PhDs with possible allocations on the grant
- **Library:** Rules on Open Access and Data Management Plan
- **ISTA Ethics Officer:** consultation on ethical issues
- **Tech Transfer Office:** consultation on IPR issues, collaborative projects
- **Legal Unit:** non-standard contracts/agreements



# Thank you

## Questions?

